

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Yasuaki KUWATA

Application No.: New US Application

Filed: October 24, 2003

Docket No.: 117604

For: SURFACE EMITTING SEMICONDUCTOR LASER AND COMMUNICATION SYSTEM  
USING THE SAME

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR §1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. Unless otherwise indicated herein, one copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of this non-CPA application, OR (b) before the mailing date of a first Office Action on the merits in the present application. No certification or fee is required.
- ☒ 2. Relevance of the non-English language references is discussed in the present specification.
- ☒ 3. English-language Abstracts of the non-English language references are attached hereto.
- ☒ 4. Computer-generated English translations of the following Japanese Patent Publication have been obtained from the website of the Japanese Patent Office ([<http://www.jpo.go.jp>]), and are attached, but have not been reviewed for accuracy. See References 1-2.

Respectfully submitted,



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DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461
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Form PTO-1449 (REV. 8-83)		US Dept. of Commerce <b>PATENT &amp; TRADEMARK OFFICE</b>		ATTY DOCKET NO. 117604	APPLICATION NO. New US Application	
<b>INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)				APPLICANT Yasuaki KUWATA		
				FILING DATE October 24, 2003		
<b>U.S. PATENT DOCUMENTS</b>						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS
<b>FOREIGN PATENT DOCUMENTS</b>						
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS
	1	JP A 9-326530 (with abstract and translation)	12/16/1997	Japan		
	2	JP A 2001-156395 (with abstract and translation)	06/08/2001	Japan		
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>						
EXAMINER					DATE CONSIDERED	
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

Date: October 24, 2003